

MULTI-PERFORMANCE OPTIMIZATION OF THE LIQUID CO₂-ASSISTED MINIMUM QUANTITY LUBRICATION ROLLER BURNISHING PROCESS IN TERMS OF COEFFICIENT OF FRICTION AND PARTICULATE MATTER

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ABSTRACT

In this study, a hybrid cooling system comprising the liquid CO₂ and minimum quantity lubrication (MQL) is proposed to facilitate the flat roller burnishing process of the SKD61 steel. The operating parameters, including the air pressure (P), lubricant flow rate (L), and CO₂ flow rate (C) were optimized to reduce the coefficient of friction (COF) and particulate matter 10 concentration ($PM10$). The Extreme Gradient Boosting (OXGBoost), the method used the removal effects of criteria (MEREC), and the dragonfly algorithm (DA) are utilized to find optimized solutions. The findings presented that the optimized outcomes of the P , L , and C are 0.6MPa, 150ml/h, and 17L/min. The COF and $PM10$ were decreased by 5.9% and 22.3%, respectively, as compared to the user-defined values. The MQL produces significantly the particulate matter 10 concentration, while the liquid CO₂ generates the $PM10$ under the impact of the machining temperature. The COF of the burnished surface was effectively decreased, as compared to the milled one. The obtained results can be efficiently used to enhance surface properties and reduce the air pollution.

Keywords: Liquid CO₂; minimum quantity lubrication; particulate matter 10; machine learning; Dragonfly algorithm.

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1. INTRODUCTION

The burnishing process is extensively used to produce a smooth surface finish, in which the specimen's surface is processed using the machined pressure. This operation

is performed without removing material from the workpiece. The roughness was efficiently decreased, while the hardness and residual stress were effectively enhanced due to the reduction of the surface irregularities. Consequently, the burnishing operation could be effectively utilized to burnish different surfaces and materials.

Recently, advanced cooling lubrication approaches, including the MQL, cold, and cryogenic techniques were suggested and used to improve the performance outcomes of different burnishing operations. Rotella et al. emphasized that a lower roughness of the burnished Ti6Al4V could be obtained using the MQL [1]. Nguyen et al. found that the energy and roughness of the burnished AISI-5145 were reduced by 11.8% and 13.8%, respectively, using optimal MQL parameters [2]. Cagan et al. indicated that the burnished hardness was mainly affected by the force, feed, and passes, respectively [3]. Cagan presented that the lower roughness of the compressed Al8090 could be obtained at the MQL condition [4]. Nguyen et al. emphasized that the MQL was a prominent approach to improve the machined roughness and hardness of the compressed AISI 4140 [5]. The Vortex tube-assisted MQL was developed to facilitate the external burnishing, in which the tribological indicators were significantly improved [6]. The machined roughness and hardness of the LN₂-burnished 17-4 stainless were improved by 36.8% and 42.6%, as compared to the untreated specimen [7]. The burnished hardness was enhanced by 29.7% using the liquid LN₂, as compared to the dry condition, while the nano-grain was found in the burnished region [8]. The

liquid CO₂ and Vortex tube were combined to facilitate the internal burnishing operation, in which the roughness and energy were enhanced by 4.0% and 20.3%, respectively [9]. Maximov et al. presented that the cryogenic condition was utilized to produce the highest hardness and residual stress on the burnished chromium-nickel stainless [10]. The optimized outcomes of the *P*, *L*, and nozzle diameter of the cold burnishing process were selected to improve outcomes measured [11]. The results indicated that the energy and roughness were reduced by 9.1% and 1.5%, respectively. Maximov stated that the fatigue strength and compressive residual stress of the LN₂-burnished SS304 were enhanced by 35.2% and 242.8%, compared to the untreated specimen [12]. Sachin et al. indicated that the burnished hardness of the processed 17-4 PH was increased by 4% using the smallest tool [13]. The processed hardness of the machined Mg-4Zn-1Sr was improved by 54% using cryogenic ball burnishing, as compared to the untreated sample [14]. Hou et al. indicated that the roughness and thickness of the burnished layer of the LN₂-processed Ti6Al4V were 0.117μm and 300μm, respectively [15]. However, the drawbacks of the related publications can be stated as below.

Firstly, a novel cooling lubrication method combining liquid CO₂ and MQL (LCMQL) has not been developed in published works. Secondly, the influences of the LCMQL parameters on the *COF* and *PM10* have not been explored. Thirdly, the *COF* and *PM10* models in terms of the LCMQL parameters have not been developed. Finally, the optimal LCMQL parameters have not been selected to improve the performance outcomes.

The materials and methods are then presented. After that, a description of the results follows. Lastly, the research findings are shown.

2. OPTIMIZATION APPROACH

2.1. Burnishing parameters and responses

Fig. 1 presents the LCMQL factors and burnishing responses, in which the in which the *COF* and *PM10* are considered and optimized.

The *COF* is computed as:

$$COF = \frac{\sum_i^n COF_i}{n} \tag{1}$$

where *COF_i* denotes the coefficient of friction at the *i_{th}* time.

The *PM10* is computed as:

$$PM10 = \frac{\sum_i^n PM10_i}{n} \tag{2}$$

where *PM10_i* is the particulate matter 10 concentration at *i_{th}* time.

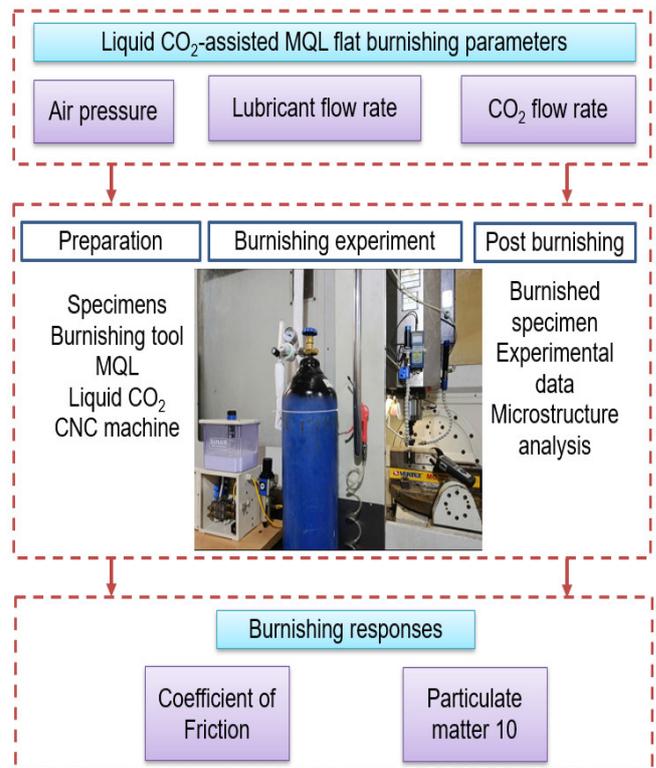


Figure 1. LCMQL factors and responses

Three key operating factors, including the *P*, *L*, *C*, and their ranges are shown in Table 1. The *P* and *L* values are selected with the aid of the MQL system's recommendations. The *C* value is chosen using the user's guide of the liquid tank. Therefore, the mathematical optimization is expressed as:

Finding optimal LCMQL parameters = [*P*, *L*, and *C*].

Optimization: $f(X) = \omega_1 COF + \omega_2 PM10$

where ω_i is the weight of the *i_{th}* burnishing response.

Minimizing *COF* and *PM10*.

Constraints: $0.2 \leq P \leq 0.6$ MPa; $100 \leq L \leq 200$ ml/h; $15 \leq C \leq 25$ L/min.

Table 1. The operating parameters of the hybrid cooling system

No.	Symbol	Parameters	Levels	Unit
1	<i>P</i>	Air pressure	0.2-0.4-0.6	MPa
2	<i>L</i>	Lubricant flow rate	100-150-200	ml/h
3	<i>C</i>	CO ₂ flow rate	15-20-25	L/min

2.2. Optimization method

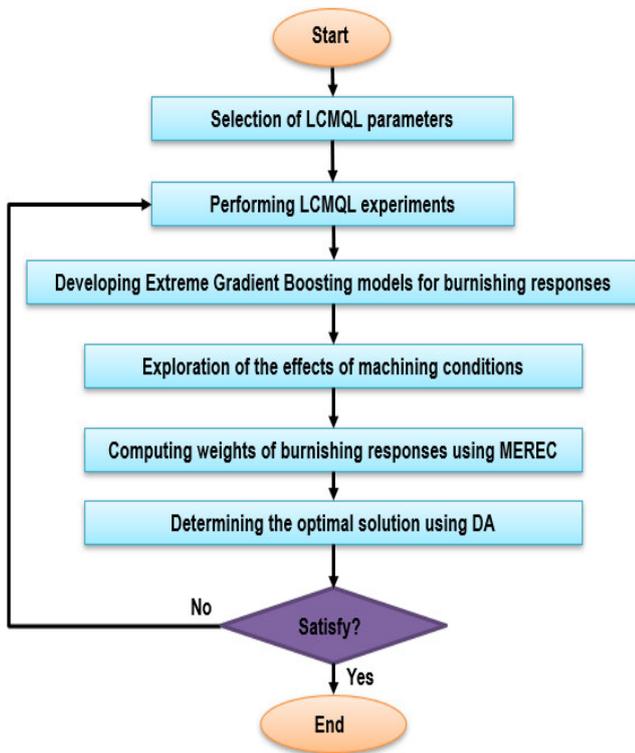


Figure 2. Optimization approach for the burnishing process

The optimization sequence for the burnishing process is depicted in Fig. 2:

Step 1: Performing burnishing trials with three levels of inputs; hence, a total of 27 experiments are performed [16].

Step 2: The MERECE is utilized to compute the performance outcome’s weight [17].

In terms of a higher purpose, the burnishing performance outcome (n_{ij}) is normalized as:

$$n_{ij} = \frac{\min y_i}{y_i} \tag{3}$$

In terms of a lower purpose, the burnishing performance outcome (n_{ij}) is normalized as:

$$n_{ij} = \frac{y_i}{\max y_i} \tag{4}$$

The performance of the alternative solution (AS_i) is calculated as:

$$AS_i = \ln[1 + (\frac{1}{NO} \sum_j |\ln(n_{ij})|)] \tag{5}$$

where NO demotes the numbers of burnishing objectives.

The performance of the remaining criterion (RS'_{ij}) is calculated as:

$$RS'_{ij} = \ln[1 + (\frac{1}{NR} \sum_{k,k \neq j} |\ln(n_{ij})|)] \tag{6}$$

where NR demotes the numbers of remaining burnishing objectives.

The removal impact of the performance outcome (R_i) is computed as:

$$R_i = \sum_j |S'_{ij} - S_j| \tag{7}$$

The weight (ω_i) is computed as:

$$\omega_i = \frac{R_i}{\sum_{k=1}^n R_k} \tag{8}$$

Step 3: The OXGBoost is utilized to propose the burnishing models [18].

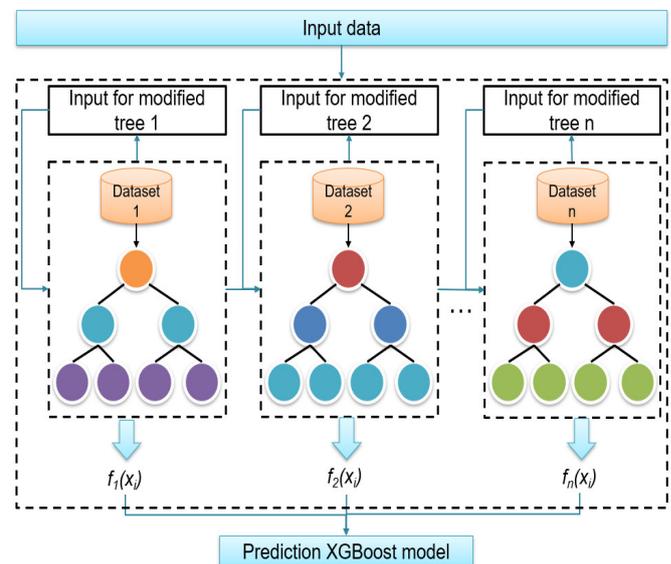


Figure 3. The scheme of the XGBoost model

The structure of the XGBoost model having classification and regression trees (CARTs) is presented in Fig. 3, in which each CART contains the root nodes, internal nodes, leaf nodes, and branches. The inputs are firstly imported into the root node to make the original decision. The internal nodes are then to make subsequent decision. The leaf nodes represent the prediction results of a single classification and regression tree. The results of all leaf-pointing nodes are combined to obtain the prediction results of the XGBoost model. The XGBoost model is expressed as:

$$\hat{y}_i = \alpha \sum_{k=1}^n f_k(x_i) \tag{9}$$

where x_i is the input data. α is the learning rate of the individual regression tree, n is the total number of CARTs, f_k is the output of the k_{th} regression tree.

The model's outcome is evaluated using the objective function (L) which is expressed as:

$$L = \sum_i^n l_k(y_i, \hat{y}_i) + \sum_{k=1}^K \Omega(f_x) \quad (10)$$

where l_k and Ω are the loss function and regularization item, respectively. For each CART, the regularization item is expressed as:

$$\Omega(f) = \gamma T + \frac{1}{2} \lambda \|w\|^2 \quad (11)$$

where T is the number of leaves. γ and λ are penalty coefficients. w is a vector presenting the score of each leaf.

Step 4: The dragonfly algorithm (DA) is used to find the optimal solution. The DA is developed based on the natural dynamic (migratory) and static (feeding) swarming behaviors of dragonflies, which contain the exploitation and exploration phases (Fig. 4). In the exploitation phase, the dragonflies make the swarms migrate in one direction over long distances and distract from enemies (Fig. 5). In the exploration phase, dragonflies form small groups and enter a small area to search for food and attract flying prey.

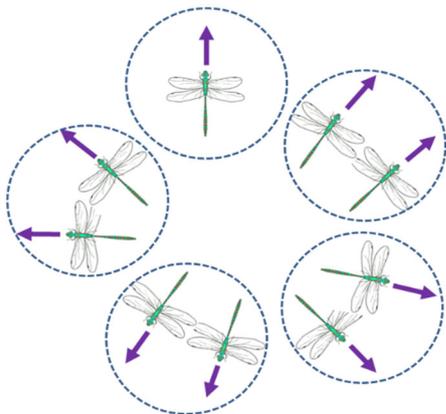


Figure 4. The static behavior of the dragonfly algorithm

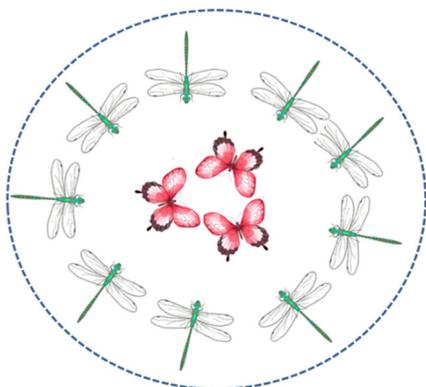


Figure 5. The dynamic behavior of the dragonfly algorithm

The DA having five basic principles are expressed as follows:

The separation process is used to prevent static collisions among individuals. The separation is expressed as:

$$S_i = -\sum_{j=1}^M P - P_j \quad (12)$$

where P and P_j are the positions of the current individual and its neighboring individual. M is the number of individuals in the design space.

The alignment process is used to present the velocity matching among individuals in the design space. The alignment is expressed as:

$$A_i = \frac{\sum_{j=1}^M V_j}{M} \quad (13)$$

where V_j denotes the velocity of the j th neighboring individual.

The cohesion is used to present the tendency of individuals toward the center of the mass of the neighborhood. The cohesion is expressed as:

$$C_i = \frac{\sum_{j=1}^M P_j}{M} - P \quad (14)$$

The attraction process is used to find the food source (F_i). The attraction is expressed as:

$$F_i = F_p - P \quad (15)$$

where F_p is the position of the food source.

The distraction process is used to escape from the enemies. The distraction is expressed as:

$$E_i = E_p + P \quad (16)$$

where E_i denotes the position of the enemy of the i th individual and E_p denotes the enemy's position.

The updated position of the individual is expressed as:

$$P_i^{t+1} = \Delta P_i^{t+1} + P_i^t \quad (17)$$

where ΔP is the step vector and expressed as:

$$\Delta P_i^{t+1} = (sS_i + aA_i + cC_i + fF_i + eE_i) + \beta \Delta P_i^t \quad (18)$$

where S_i , A_i , C_i , and E_i are the separation, the alignment, the cohesion, and the enemy of the i th individual, respectively. s , a , c , f , e , and β are the swarming factors.

The updated position of the i th dragonfly is as follows:

$$P_i^{t+1} = P_i^t + Levy(d) \times P_i^t \quad (19)$$

where d represents the dimension of the position vectors. The Levy flight is expressed as:

$$Levy(d) = 0.01 \times \frac{r_1 \times \sigma}{|r_2|^{\frac{1}{\beta}}} \quad (20)$$

where r_1 and r_2 are random vectors uniformly distributed in the range [0,1].



(a) Burnishing operation



(b) Measuring friction of coefficient

Figure 6. The experimental setting

Table 2. Chemical compositions of the SKD 61 steel

Elements	C	Si	Mn	P	S	Cr	Mo	V
%	0.42	1.2	0.50	0.03	0.02	5.50	1.50	1.15

3. EXPERIMENTAL SETTING

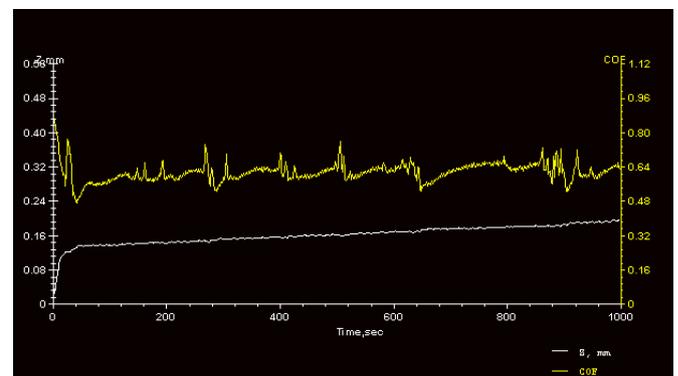
The SKD61 extensively used in manufacturing dies and molds is chosen as the burnishing specimen. Table 2 displays the chemical compositions of the SKD61. Each workpiece measures 180.0mm in length, 30.0mm in width, and 12.0mm in thickness. The spindle speed of 360rpm, feed rate of 200mm/min, depth of cut of 0.15mm, and number of paths of 2 are utilized for the milling operation. The P and L for the milling process are 0.4MPa and 100ml/h, respectively.

To conduct experiments, a burnishing tool with six movable rollers and an average diameter of 40.0mm is used (Fig. 6a). New rollers are installed after each trial. The spindle speed of 1400rpm, feed rate of 100mm/min, and depth of penetration of 0.14mm are used for each trial.

Two MQL nozzles and a cryogenic one are utilized. The nozzles are evenly spaced on a circular cross-section, and the angle between the nozzles is 120°. The lubricant and liquid CO₂ are simultaneously transferred into the interfaces to produce the thin film. The particle counter entitled CEMDT-9850M is used to measure the PM₁₀ in a duration of 20 seconds.

The tribometer entitled CETR-UMT2 is applied to measure the friction of coefficient at a constant load of 25N and a constant sliding time of 1000s (Fig. 6b). The temperature and humidity lie are 25°C and 63%, respectively. The COF of the milled surface is 0.753.

The COF and PMI at different LCMQL conditions are presented in Figs. 7 and 8.

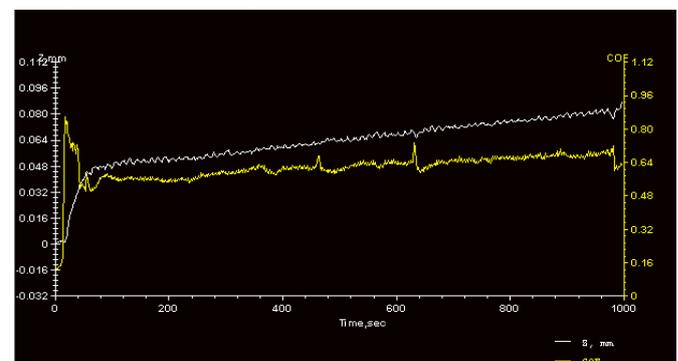


(a) COF at the No. 6



(b) PM10 at the No. 6

Figure 7. The burnishing responses at the No. 6



(a) COF at the No. 12



(b) PM10 at the No. 12

Figure 8. The burnishing responses at the No. 12

4. RESULTS AND DISCUSSIONS

The experimental outcomes of the burnishing responses are exhibited in Table 3.

4.1. The ANOVA results

The ANOVA is used to test the model's adequacy and significant factors. For the *COF* model, the values of the R^2 value (0.9864), the adjusted R^2 (0.9674), and the predicted R^2 (0.9538) indicate that the *COF* model is adequate (Table 4). The counted contributions of the *P*, *L*, and *C* are 19.79%, 21.53%, and 17.14%, respectively. The counted contribution of the *PL*, *LC*, and *LC* are 4.78%, 4.06%, and 6.46%, respectively. The counted contributions of the P^2 , L^2 , and C^2 are 6.59%, 10.86%, and 8.79%, respectively.

The ANOVA results of the *PM10* model are shown in Table 5. For the *PM10* correlation, the values of the R^2 value (0.9842), the adjusted R^2 (0.9732), and the predicted R^2 (0.9645) indicate that the *PM10* model is adequate. The counted contributions of the *P*, *L*, and *C* are 15.61%, 22.07%, and 19.38%, respectively. The counted contributions of the *PL*, *PC*, and *LC* are 12.24%, 1.72%, and 13.04%, respectively. The counted contributions of the P^2 , L^2 , and C^2 are 3.91%, 9.90%, and 2.13%, respectively.

Fig. 9 presents the comparisons between the predicted and actual values for burnishing responses. The data are distributed on the straight lines, presenting the model's adequacy.

Table 3. Experimental results of the burnishing operation

No.	<i>P</i> (MPa)	<i>L</i> (ml/h)	<i>C</i> (L/min)	<i>COF</i>	<i>PM10</i> (µg/m ³)
1	0.2	100	15	0.689	3452
2	0.4	100	15	0.681	9136
3	0.6	100	15	0.646	13339
4	0.2	150	15	0.623	10538

5	0.4	150	15	0.605	13906
6	0.6	150	15	0.562	15704
7	0.2	200	15	0.599	21372
8	0.4	200	15	0.572	22424
9	0.6	200	15	0.519	21995
10	0.2	100	20	0.634	9655
11	0.4	100	20	0.618	15666
12	0.6	100	20	0.576	20321
13	0.2	150	20	0.581	14273
14	0.4	150	20	0.555	17968
15	0.6	150	20	0.504	20182
16	0.2	200	20	0.569	22638
17	0.4	200	20	0.534	24017
18	0.6	200	20	0.474	23915
19	0.2	100	25	0.613	15068
20	0.4	100	25	0.589	21406
21	0.6	100	25	0.536	26263
22	0.2	150	25	0.572	17218
23	0.4	150	25	0.539	21241
24	0.6	150	25	0.481	23781
25	0.2	200	25	0.573	23115
26	0.4	200	25	0.531	24821
27	0.6	200	25	0.463	25046

Table 4. ANOVA results for the *COF* model

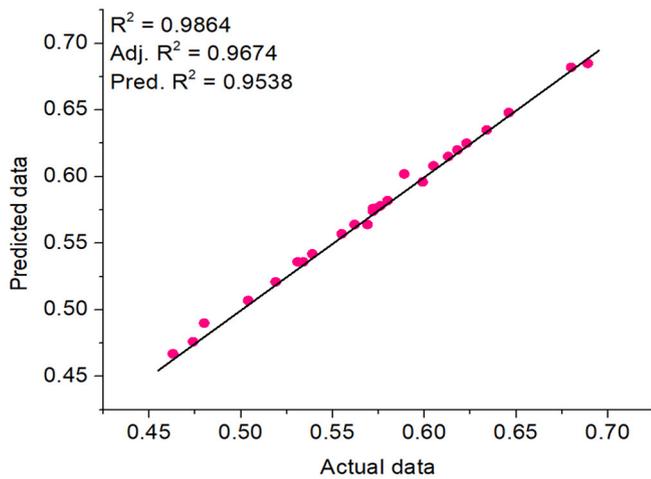
Source	Sum of Squares	Mean Square	F Value	p-value	Contri. (%)	Remark
Model	0.03885	0.00432	56.41	< 0.0001		Significant
<i>P</i>	0.00769	0.00769	96.11	< 0.0001	19.79	Significant
<i>L</i>	0.00836	0.00836	104.56	< 0.0001	21.53	Significant
<i>C</i>	0.00666	0.00666	83.24	< 0.0001	17.14	Significant
<i>PL</i>	0.00186	0.00186	23.21	0.0005	4.78	Significant
<i>PC</i>	0.00158	0.00158	19.72	0.0006	4.06	Significant
<i>LC</i>	0.00251	0.00251	31.37	0.0004	6.46	Significant
P^2	0.00256	0.00256	32.00	0.0003	6.59	Significant
L^2	0.00422	0.00422	52.74	< 0.0001	10.86	Significant
C^2	0.00341	0.00341	42.69	< 0.0001	8.79	Significant
Residual	0.00054	0.00008				
Cor Total	0.03939					

$R^2 = 0.9864$; Adj. $R^2 = 0.9674$; Pred. $R^2 = 0.9538$

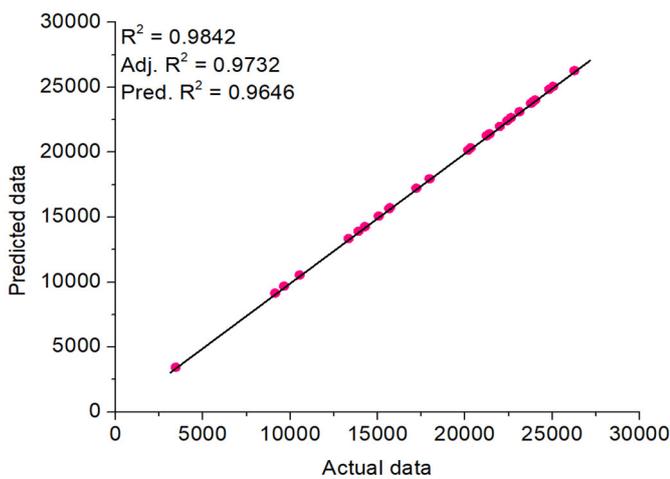
Table 5. ANOVA results for the PM10 model

Source	Sum of Squares	Mean Square	F Value	p-value	Contri. (%)	Remark
Model	375860788.87	41762309.87	48.45	< 0.0001		Significant
<i>P</i>	58671869.14	58671869.14	68.07	< 0.0001	15.61	Significant
<i>L</i>	82952476.10	82952476.10	96.23	< 0.0001	22.07	Significant
<i>C</i>	72841820.88	72841820.88	84.50	< 0.0001	19.38	Significant
<i>PL</i>	46005360.56	46005360.56	53.37	< 0.0001	12.24	Significant
<i>PC</i>	6464805.57	6464805.57	7.50	0.0006	1.72	Significant
<i>LC</i>	49012246.87	49012246.87	56.86	< 0.0001	13.04	Significant
<i>P</i> ²	14696156.84	14696156.84	17.05	0.0003	3.91	Significant
<i>L</i> ²	37210218.10	37210218.10	43.17	< 0.0001	9.90	Significant
<i>C</i> ²	8005834.80	8005834.80	9.29	0.0005	2.13	Significant
Residual	6033936.66	861990.95				
Cor Total	381894725.53					

$R^2 = 0.9842$; $Adj. R^2 = 0.9732$; $Pred. R^2 = 0.9646$

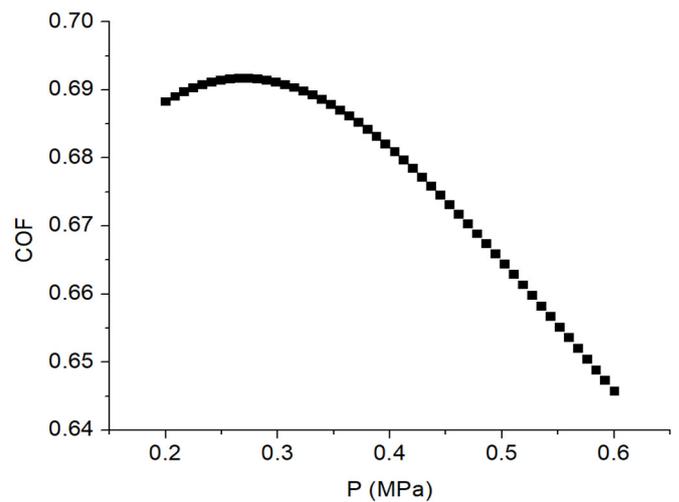


(a) For COF model

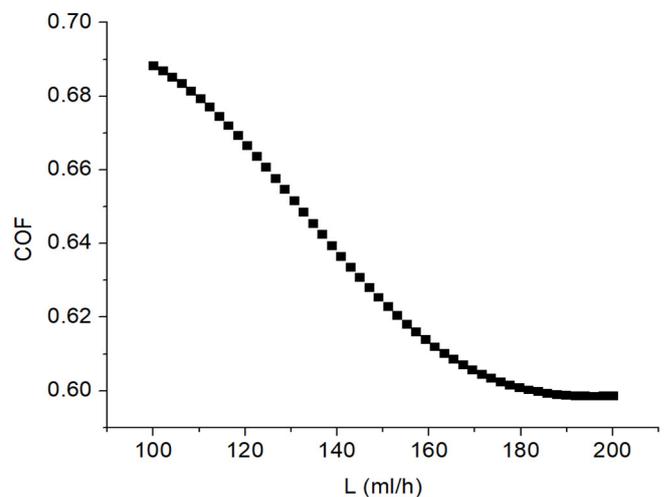


(b) For PM10 model

4.2. The parametric impacts



(a) COF versus P



(b) COF versus L

Figure 9. Comparisons between the predicted and actual values for burnishing responses

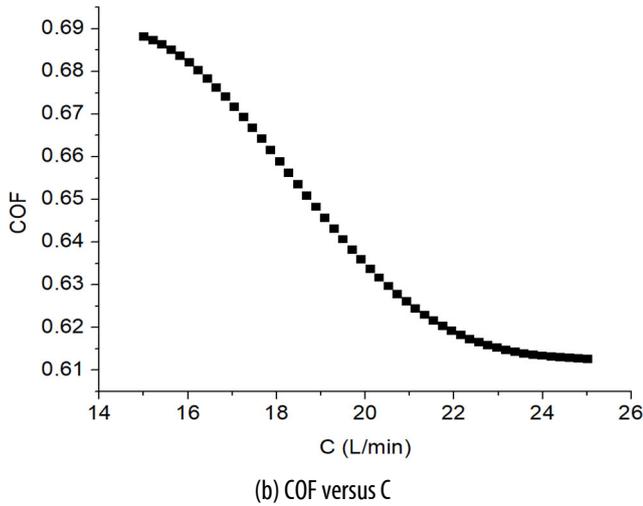
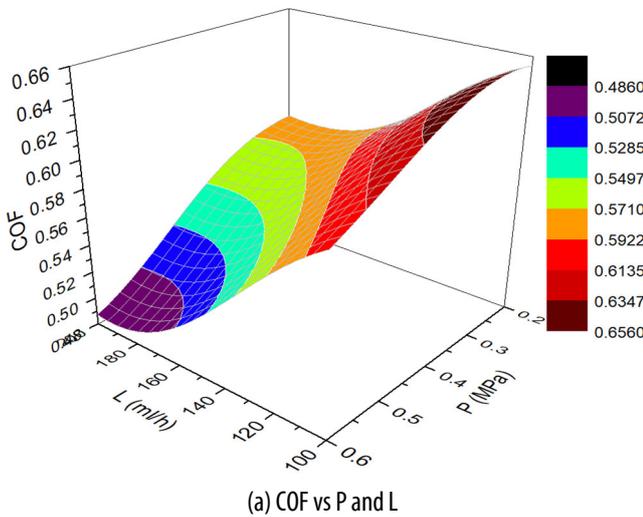
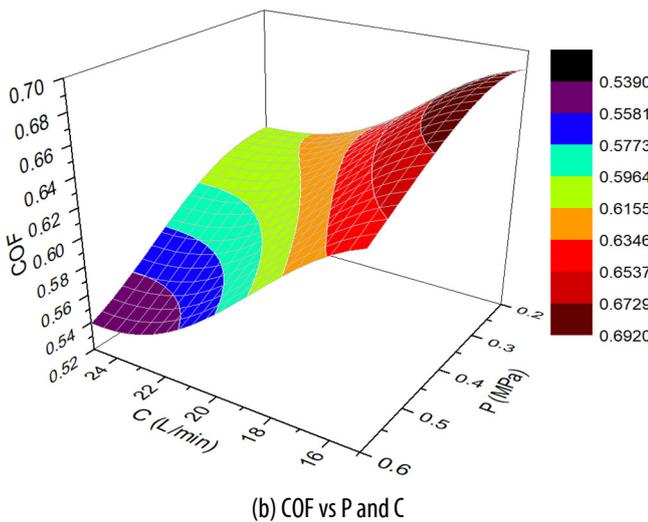


Figure 10. The main impacts of LCMQL parameters on the COF



(a) COF vs P and L



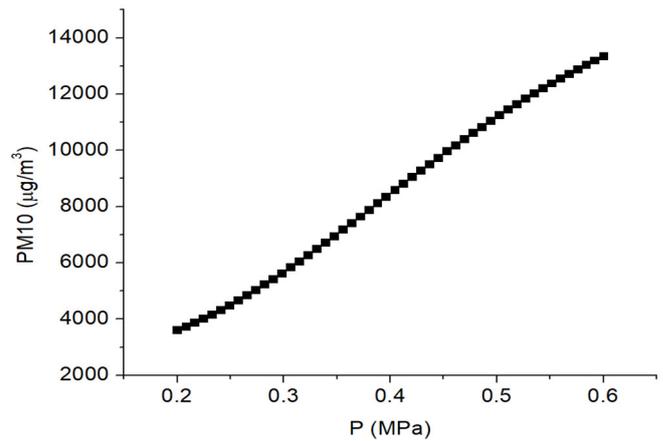
(b) COF vs P and C

Figure 11. The interactive impacts of LCMQL parameters on the COF

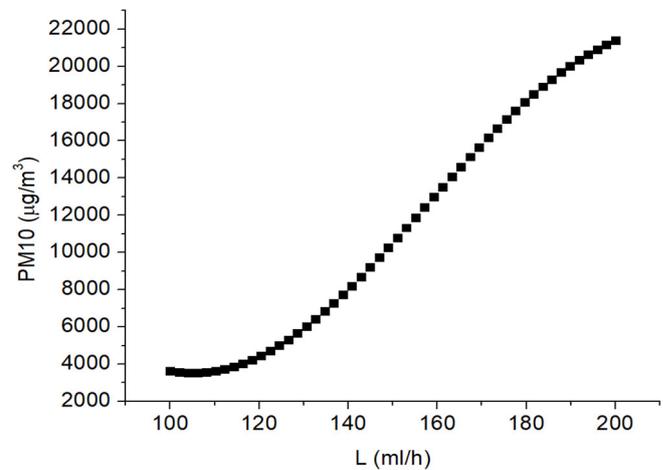
As shown in Fig. 10, lower COF values are obtained with higher LCMQL parameters. The relative reductions in terms of the P , L , and C are 7.1%, 14.5%, and 11.5%, respectively. A higher P produces a low oil-mist's

diameter, generating lower friction. A smoother surface is produced, leading to a reduction in the COF. A higher L increases lubricant entering the interface region, the material compression is smoothly executed. The roughness decreases, resulting in a low COF. A higher C produces a thin film at the interfaces, resulting in low friction. A smoother surface is generated, leading to a low COF. Fig. 11 presents the interactive impacts of LCMQL parameters on the COF.

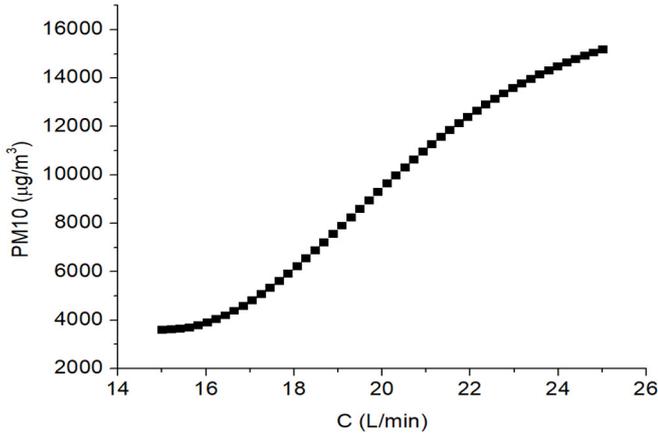
As shown in Fig. 12, higher PM_{10} values are found with increased LCMQL parameters. The relative increases in terms of the P , L , and C are 300.2%, 528.6%, and 351.4%, respectively. A higher P produces low oil-mist's diameter and increases its velocity, leading to higher amount of particulate matter; hence, the PM_{10} increases. A higher L increases amount of the oil in the air; hence, the PM_{10} increases. A higher C increases the amount of liquid CO_2 penetrating the burnishing region, leading to an increased PM_{10} under the impact of the machining temperature. Fig. 13 presents the interactive impacts of LCMQL parameters on the PM_{10} .



(a) PM10 versus P

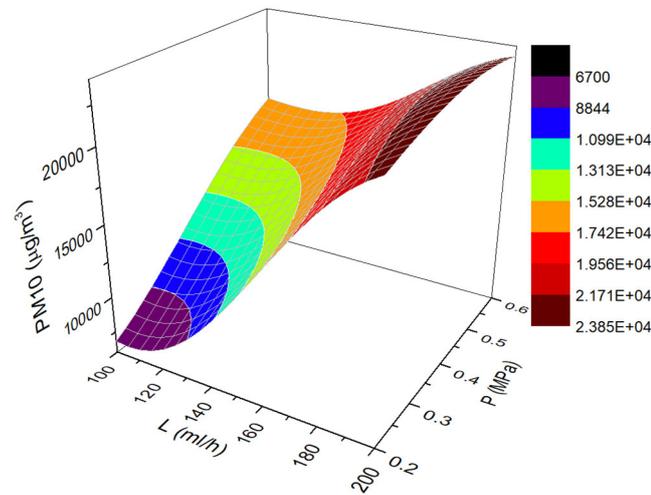


(b) PM10 versus L

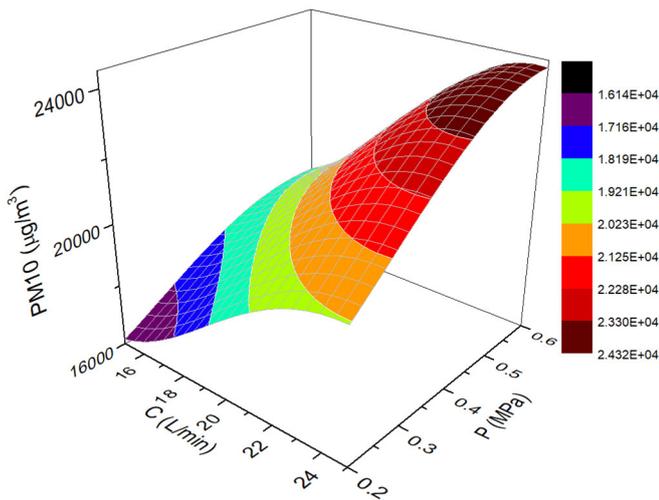


(c) PM10 versus C

Figure 12. The impacts of LCMQL parameters on the PM10



(a) PM10 vs P and L



(b) PM10 vs P and C

Figure 13. The interactive impacts of LCMQL parameters on the PM10

4.3. Optimal data

The computed weights of the *COF* and *PM10* are 0.32 and 0.68, respectively. Fig. 14 depicts the graphical

correlations among burnishing responses. The best results produced by the *P*, *L*, and *C* are 0.6MPa, 150ml/h, and 17L/min, respectively (Table 6). It was found that the *COF* and *PM10* are decreased by 5.9% and 22.3%, respectively, as compared to the user-defined values. The *COF* of the burnished surface under the effect of burnishing operation is reduced by 22.6%, as compared to the milled one.

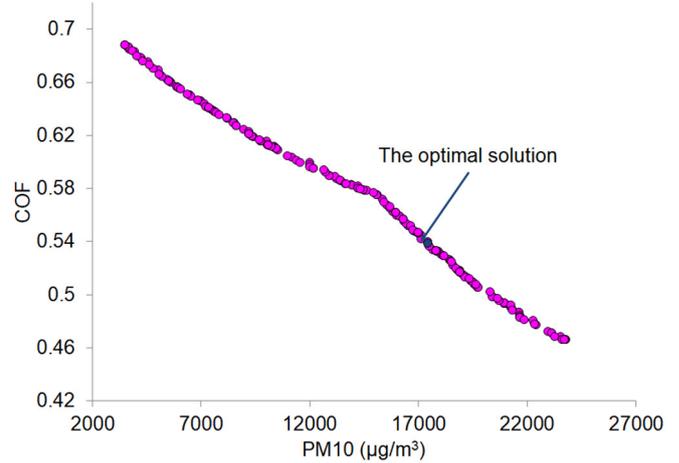


Figure 14. Pareto fronts produced by the DA

Table 6. Optimization results produced by the IDA

Method	Optimization parameters			Responses	
	<i>P</i> (MPa)	<i>L</i> (ml/h)	<i>C</i> (L/min)	<i>COF</i>	<i>PM10</i> (µg/m³)
Initial values	0.4	200	15	0.572	22424
IDA	0.6	150	17	0.538	17420
Reductions (%)				5.9	22.3

5. CONCLUSIONS

In this work, a novel flat burnishing operation using liquid CO₂ and MQL was developed for machining SKD61 steel. The operating factors, including the *P*, *L*, and *C* are optimized to reduce the *COF* and *PM10*. The XGBoost models, MEREC, and DA were used to find optimality. Based on the obtained results, the obtained findings can be expressed as:

1. The OXGBoost correlations could be effectively utilized to model complex data and predict burnishing responses with acceptable accuracy.
2. Higher *P*, *L*, and *C* could be used to reduce the *COF*. Lower *P*, *L*, and *C* could be applied to decrease the *PM10*.
3. The *COF* was principally affected by the *L*, while the *P* and *C* had fewer impacts. The *PM10* was principally affected by the *L*, while the *C* and *P* had fewer impacts.
4. The computed weights revealed that the *PMI* had a higher importance than the *COF*.

5. The optimized outcomes of the P , L , and C were 0.6MPa, 150ml/h, and 17L/min, respectively. The COF and $PM10$ were reduced by 5.9% and 22.3%, respectively.

6. The proposed DA can be utilized to deal with complicated issues for different burnishing operations.

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